

04-10-00

A
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see

04/07/00
JC781 U.S. PTO

"EXPRESS MAIL CERTIFICATE"
"EXPRESS MAIL" MAILING LABEL NUMBER EL213569913US
DATE OF DEPOSIT APRIL 7, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST
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INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER
FOR PATENTS, BOX PATENT APPLICATION, WASHINGTON, DC 20231.

NAME OF PERSON MAILING PAPER OR FEE
(TYPE OR PRINT) TRACY WESTPHAL

SIGNATURE *Tracy Westphal*

JC511 U.S. PTO
09/545334
04/07/00

Attorney Docket No. 0803

April 7, 2000

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

UTILITY PATENT APPLICATION TRANSMITTAL

Inventor(s): Jeffrey E. Habben, Christopher Zinselmeier, Dwight Tomes

Title: Regulated Expression of Genes in Plant Seeds

APPLICATION ELEMENTS

1. ☐ Fee Transmittal Form (Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 64]
 (Preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113) [Total Sheets]
 a. ☐ Formal
 b. ☐ Informal

09/545334-040200

4. ☒ Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☒ Computer Readable Copy
- b. ☒ Paper Copy (identical to computer copy) [Total Pages 10]
- c. ☒ Statement verifying identity to above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (where there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS/PTO-1449) ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
Status still proper and desired

Attorney Docket No.: 0803

15. ☐ Certified Copy of Priority document(s)

16. ☐ Other:

17. If a **CONTINUING APPLICATION**, check the appropriate box and supply the requisite information: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label: _____
(Insert Customer No. or Attach bar code label here)
or

☒ Correspondence address below

Name: Debra L. Blair

Address: Pioneer Hi-Bred International, Inc.
Corporate Intellectual Property
7100 N.W. 62nd Avenue
P.O. Box 1000

City Johnston State: Iowa Zip Code: 50131-1000

Country: USA Phone: (515) 248-4897 Facsimile: (515) 334-6883

19. FEE CALCULATION

☒ The total fee is calculated as shown below:

Basic Filing Fee					\$ 690.00
Total Claims	47 - 20	=	27	x \$ 18.00	486.00
Independent Claims	4 - 3	=	1	x \$ 78.00	78.00


☐ Multiple Dependent Claims present + \$260.00 \$

TOTAL FILING FEE \$1,254.00

Attorney Docket No.: 0803

- ☒ Charge \$1,254.00 to Deposit Account No. 16-1852.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required by this paper, or credit any overpayment, to our Deposit Account No. 16-1852.
- ☒ A duplicate of this transmittal is enclosed

Respectfully submitted,



Debra L. Blair
Attorney for Applicant(s)
Registration No. 39,112

PIONEER HI-BRED INTERNATIONAL, INC.
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SIGNATURE

Tracy Westphal

JCS11 U.S. PTO
09/545334
04/07/00

Attorney Docket No. 0803

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Habben, et al.

Date: April 7, 2000

Serial No.:

Group Art Unit:

Filed: April 7, 2000

Examiner:

For: "Regulated Expression of Genes in Plant Seeds"

Assistant Commissioner for Patents
Box Sequence
Washington, D.C. 20231

STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE
WITH 37 CFR §§1.821 THROUGH 1.825

- ☒ I hereby state that the contents of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.
- ☐ I hereby state that the submission filed in accordance with 37 CFR §1.821(g) does not include new matter.
- ☐ I hereby state that the submission filed in accordance with 37 CFR §1.821(h) does not include new matter or go beyond the disclosure in the international application as filed.
- ☐ I hereby state that the amendments, made in accordance with 37 CFR §1.825(a), included in the substitute sheet(s) of the Sequence Listing are supported in the application, as filed, at pages _____. I hereby state that the substitute sheet(s) of the Sequence Listing does (do) not include new matter.

Docket No.: 0803

- ☐ I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 CFR §1.825(b), is the same as the amended Sequence Listing.
- ☐ I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 CFR §1.825(d), is identical to that originally filed.

Respectfully submitted,



Debra L. Blair

Attorney for Applicant(s)

Registration No. 39,112

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